

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wiley J. YOUNGS et al.      Docket No.: UA-319 A  
Serial No: not assigned yet      Examiner: not assigned yet  
Filed: June 22, 2001      Group Art Unit: not assigned yet  
Title: SUPRAMOLECULAR STRUCTURES AND PROCESS FOR MAKING THE  
SAME

BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

WRITTEN ASSERTION OF SMALL ENTITY STATUS OF APPLICANT

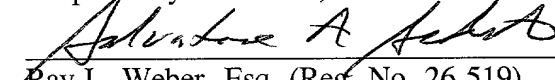
37 C.F.R. §1.27(c)

Dear Sir:

The Assignee, The University of Akron, is a non-profit organization as defined by 37 C.F.R. §1.27(a)(3)(i) and (a)(3)(ii)(A), and hereby asserts entitlement of small entity status for the purpose of paying small entity fees for the present patent application. A copy of the Verified Statement Claiming Small Entity Status from the prior copending patent application is also enclosed herewith.

Should the Examiner have any questions regarding this paper, the undersigned attorney would welcome a telephone call.

Respectfully submitted,

  
Ray L. Weber, Esq. (Reg. No. 26,519)  
Salvatore A. Sidoti, Esq. (Reg. No. 43,921)  
Renner, Kenner, Greive, Bobak, Taylor & Weber  
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Attorney for Applicants

6-22-01  
Date

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as Express Mail - Label No. EL722632198US - "Express Mail Post Office to Addressee" in an envelope with sufficient postage addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Laura A. Ratn  
(type or print name of person signing paper)

Laura A. Ratn  
(signature of person mailing paper)

6-22-01  
(date)

Applicant or Patentee: Wiley J. Youngs, Claire A. Tessier and Peter L. Rinaldi  
Serial or Patent No: \_\_\_\_\_

Attorney's Docket No. UA 319

Filed or Issued: \_\_\_\_\_

Title: SUPRAMOLECULAR STRUCTURES AND PROCESS FOR MAKING THE SAME

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) and 1.27 (d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below

Name of Nonprofit Organization      The University of Akron  
Address of Nonprofit Organization    305 E. Buchtel Commons  
Akron, Ohio 44325

Type of Nonprofit Organization:

- ☒ University or other institution of higher education  
☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(e)(3))  
☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America  
Name of State:  
Citation of Statute:  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3) if located in the United States  
Located in the United States of America  
☐ Would qualify as NonProfit Scientific or Educational under Statute of State of United States of America if located in the United States  
of America  
Name of State:  
Citation of Statute:

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 13 CFR 1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such persona, concern or organization exists.  
☐ each such person, concern or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Mr. Gerald Parker

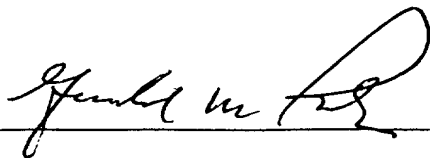
TITLE IN ORGANIZATION OF PERSON SIGNING

Director, Research Services and Sponsored Programs

ADDRESS OF PERSON SIGNING

The Polsky Building, 285L, Akron, Ohio 44325-2102

SIGNATURE



DATE

4/22/99

## DECLARATION AND POWER OF ATTORNEY

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## SUPRAMOLECULAR STRUCTURES AND PROCESS FOR MAKING THE SAME

including any amendments referred to below,  
the specification of which

  X   is attached hereto.

       was filed on        as Application Serial No.        and

was amended on       .

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

<u>None</u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
Number	Country	Date Filed	Yes	No
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
Number	Country	Date Filed	Yes	No
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
Number	Country	Date Filed	Yes	No

UA-319

**Patent  
Joint Inventors**

We hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

60/083,215

April 27, 1998

Provisional Application Number

Filing Date

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

None

Application Ser. No.	Filing Date	Status
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We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As named inventors, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Ray L. Weber (Reg. No. 26,519); Reese Taylor (Reg. No. 22,325); Phillip L. Kenner (Reg. No. 22,353); Edward G. Greive (Reg. No. 24,726); Donald J. Bobak (Reg. No. 27,182); Joseph G. Curatolo (Reg. No. 28,837); Rodney L. Skoglund (Reg. No. 36,010); Andrew B. Morton (Reg. No. 37,400); Arthur M. Reginelli, (Reg. No. 40,139); Shannon V. McCue (Reg. No. 42,859); Michael F. Morgan (Reg. No. 42,906) and Salvatore A. Sidoti (Reg. No. 43,921).

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UA-319

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